

DEPARTMENT OF TRANSPORTATION

ESC/OE MS #43
1737 30TH. Street 2ND. Floor
SACRAMENTO, CA 945816



December 28, 1999

04-CC,Sol-80-22.0/22.7,0.0/1.8
04-013014

Addendum No. 6

Dear Contractor:

This addendum is being issued to the contract for construction on State highway in CONTRA COSTA AND SOLANO COUNTIES AT CROCKETT AND IN VALLEJO ON ROUTE 80 FROM 1.1 km SOUTH OF U.P.R.R. OVERCROSSING TO 0.4 km NORTH OF ROUTE 80/29 SEPARATION.

Submit bids for this work with the understanding and full consideration of this addendum. The revisions declared in this addendum are an essential part of the contract.

Bids for this work will be opened on January 11, 2000.

This addendum is being issued to the Notice to Contractors and Special Provisions and the Proposal and Contract.

In the Special Provisions, Section 2-1.02, "Disabled Veteran Business Enterprise (DVBE)", the reference Section 3-1.01A, \"DVBE Information, is revised to Section 2-1.03.5, \"Submission of DVBE Information\".

In the Special Provisions, Section 2-1.03.5, \"Submission of DVBE Information\" is added as follows:

2-1.03.5 SUBMISSION OF DVBE INFORMATION

The required DVBE information shall be submitted WITH THE BID on the following \"CALTRANS BIDDER - DVBE - INFORMATION\" and \"TELEPHONE LOG AND LIST OF REJECTED DVBEs.\"

It is the bidder's responsibility to meet the goal for DVBE participation or to establish that, prior to bidding, the bidder made good faith efforts to do so based on the information in the \"CALTRANS BIDDER - DVBE - INFORMATION\" and \"TELEPHONE LOG AND LIST OF REJECTED DVBEs.\"

The information to show that the DVBE goal will be met on the \"CALTRANS BIDDER - DVBE - INFORMATION\" form shall include the names of DVBEs and DVBE joint venture partners to be used, with a complete description of work or supplies to be provided by each and the dollar value of each such DVBE transaction. When 100 percent of a contract item of work is not to be performed or furnished by a DVBE, a description of the exact portion of said work to be performed or furnished by that DVBE shall be included in the DVBE information, including the planned location of said work. DVBE prime contractors shall enter their Office of Small Business Certification and Resources (OSBCR) - DVBE reference number and/or DBA name, as listed with OSBCR, on the line provided. (Note: DVBE subcontractors to whom the bidder proposes to directly subcontract portions of the work are to be named in the bid. - See Section 2-1.054, \"Required Listing of Proposed Subcontractors,\" of the Standard Specifications and Section 2-1.01, \"General,\" of these special provisions, regarding listing of proposed subcontractors).

If credit for trucking by a DVBE trucking broker is shown on the bidder's information as 100 percent of the revenue to be paid by the broker is to be paid to DVBE truckers, a \"certified roster\" of the broker's trucks to be used must be included with the bid. The \"certified roster\" must indicate that all the trucks are owned by certified DVBEs and must show the DVBE truck numbers, owner's name, Public Utilities Commission Cal-T numbers, and the DVBE certification numbers. The roster must indicate that all revenue paid by the broker will be paid to DVBEs listed on the \"certified roster\".

If credit for trucking by a trucking broker who is not a DVBE is shown in the bidder's information, a \"certified roster\" of the broker's trucks to be used must be included with the bid. The \"certified roster\" must indicate that at least 20 percent of the broker's trucks are owned by DVBEs and must show the DVBE truck numbers, owner's name, Public Utilities Commission Cal-T numbers, and the DVBE certification numbers. The roster must indicate that at least 20 percent of the revenue paid by the broker will be paid to DVBEs listed on the \"certified roster\".

Information necessary to establish the bidder's good faith efforts to meet the DVBE goals shall be included in the \"TELEPHONE LOG AND LIST OF REJECTED DVBEs\" form located in the Proposal and shall include:

A. The names, dates and times of notices of all certified DVBEs solicited by telephone for this project and the dates, times and methods used for following up initial solicitations to determine with certainty whether the DVBEs were interested.

B. The names of DVBEs who submitted bids which were not accepted and the reason for rejection of the DVBEs bid.

Bidders are cautioned that even though their submittal indicates they will meet the stated DVBE goal, their submittal should also include the telephone log and rejected DVBE information to protect their eligibility for award of the contract in the event the Department, in its review, finds that the goal has not been met.

It is the bidders responsibility to be available, by phone, both the day of and the day after the bid opening to answer questions and provide good faith effort clarification. The bidder shall also assure that listed DVBEs are available, by phone, on both days.

If it is found that the goal has not been met, the Department will review the information submitted with the bid to determine the bidder's good faith effort. In the event that the Department determines that a bidder has not made a good faith effort based on the information submitted with the bid and its independent investigation, the Department's decision will be final.

In the Proposal and Contract, \"Caltrans Bidder - DVBE - Information\" forms are replaced as attached.

To Proposal and Contract book holders:

- INDICATE RECEIPT OF THIS ADDENDUM BY FILLING IN THE NUMBER OF THIS ADDENDUM IN THE SPACE PROVIDED ON THE SIGNATURE PAGE OF THE PROPOSAL.
- Submit bids in the Proposal and Contract book you now possess. Holders who have already mailed their book will be contacted to arrange for the return of their book.
- Inform subcontractors and suppliers as necessary.

This office is sending this addendum by confirmed facsimile to all book holders to ensure that each receives it.

If you are not a Proposal and Contract book holder, but request a book to bid on this project, you must comply with the requirements of this letter before submitting your bid.

Sincerely,

ORIGINAL SIGNED BY

NICK YAMBAO, Chief
Office of Plans, Specifications &
Estimates
Division of Office Engineer

CALTRANS BIDDER - DVBE - INFORMATION

**-- DO NOT DETACH --
THIS INFORMATION SHALL BE SUBMITTED WITH YOUR BID
PROPOSAL**

DISTRICT-CO.-RTE.-K.P.: _____ **04-CC,Sol-80-22.0/22.7,0.0/1.8**
DISTRICT-CONTRACT NO.: _____ **04-013014**
BID AMOUNT: \$ _____
BID OPENING DATE: _____
BIDDER'S NAME: _____
DVBE GOAL FROM CONTRACT, %: _____
DVBE PRIME CONTRACTOR CERTIFICATION ¹: _____

CONTRACT ITEM NO.	ITEM OF WORK AND DESCRIPTION OR SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED²	FOR CALTRANS ONLY	NAME OF DVBEs (must be certified on the date bids are opened) (Indicate Second and Lower Tier Subcontractors)	DOLLAR AMOUNT DVBE³
IMPORTANT: Names of First Tier DVBE Subcontractors and their respective item(s) of work listed above shall be consistent with the names and items of work in the \"List of Subcontractors\" submitted with bid pursuant to the Subcontractors Listing Law and Section 2-1.01, \"General,\" of the Special Provisions. Identify Second and Lower Tier Subcontractors on this form.			Total Claimed Participation	\$ _____ _____%

1. DVBE prime contractors shall enter their OSMB -DVBE reference number or their DBA name as listed with OSMB. DVBE prime contractors will have 100 percent DVBE participation and shall not complete the above table.

2. If 100% of an item is not performed or furnished by DVBEs, describe the exact portion, including the planned location of work to be performed, of item to be performed or furnished by DVBE.

3. Credit for a DVBE supplier, who is not a manufacturer, is limited to 60% of the amount paid to the supplier. Credit for truck brokers may be limited to 20 % of the amount paid for trucking. (See Section 2-1.02 of the Special Provisions.)

Signature of Bidder Date

(Area Code) Telephone Number

Person to Contact (Please Type or Print)

DVBE CT Bidder Information (12-01-99)-

- DO NOT DETACH -
THIS INFORMATION SHALL BE INCLUDED WITH YOUR BID PROPOSAL

TELEPHONE LOG AND LIST OF REJECTED DVBEs

Bidders shall submit the following information to demonstrate that a good faith effort to meet the DVBE goal has been made if their CALTRANS BIDDER - DVBE - INFORMATION form indicates that the DVBE goal will not be met.

Even if the bidder's CALTRANS BIDDER - DVBE - INFORMATION form indicates the DVBE goal will be met, bidders should submit the following information to protect their eligibility for award of the contract. This is important because the submittal of only the CALTRANS BIDDER - DVBE - INFORMATION form will not normally provide sufficient information to demonstrate that a good faith effort was made. A bidder may not meet the DVBE goal after the submittal is analyzed by Caltrans for various reasons, e.g., if the subcontractor submitted by the bidder was not certified on the date bids were opened, or if the bidder made a mathematical error.

TELEPHONE LOG

The names, dates and times of notices of all certified DVBEs solicited by telephone for this project and the dates, times and methods used for following up initial solicitations to determine with certainty whether the DVBEs were interested:

Names of DVBEs Solicited	Solicitations	Dates and Times
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LIST OF REJECTED DVBEs

Names of Rejected DVBEs	Reasons for Rejection of DVBEs:
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